

Buckinghamshire County Council

# Alternative Delivery Vehicles

Regulatory and Audit Committee  
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## HOW DOES THE COMMITTEE GET ASSURANCE OVER THE ADV'S

- **Currently 6 ADV's in operation**
  - Adventure Learning Foundation
  - Buckinghamshire Advantage
  - Buckinghamshire Care
  - Bucks Law Plus
  - Bucks Learning Trust
  - Bucks Museum Trust
- Each ADV was developed separately, resulting in different models and different approaches to governance issues
- BLT reports to Committee has highlighted governance issues

### Current Action

- OCB is reviewing the governance arrangements which the Council has in place to oversee its interest in these bodies
  - Includes appendix on requirements in the Operating Framework
  - Board representation
  - Performance reporting
  - Assurance

This session is to feed in to that review, to understand the requirements of the Regulatory and Audit Committee in the assurance reporting for ADV's

## **COMMISSIONING FRAMEWORK**

- OCB recently approved the Commissioning Framework
- Contains specific guidance to Commissioners on establishing ADV's and expectations on considerations at each stage of the Commissioning Cycle:
  - Analyse
  - Plan
  - Do
  - Review
- Commissioning Framework states:

“Regulatory and Audit Committee Members will have a key role in overseeing that appropriate risk and governance arrangements are in place for all Delivery Units”
- Due for Cabinet Approval December/January

## **New ADVs**

### **Establishing the ADV**

First line of Assurance:

- Frameworks and Guidance in place
- Project management process, with clear decision making and accountability

Second Line of Assurance

- OCB
- BU Management Boards
- Risk Management Group ? (detailed review of risk matrix)
- Does the Committee want a report on the proposed ADV and how it will operate?

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## New ADV's

### Post Implementation

#### First Line of Assurance

- Contract Management
- BU Performance monitoring

#### Second Line

- Internal Governance Boards
- OCB
- Risk and Performance reporting
- Financial Reporting

So reliance on the key control processes in the organisations which are already included in the Assurance Framework, and subject to Internal Audit review.

## **Existing ADVs**

- Committee already considered BLT
- In addition to BLT, ALF, Bucks Care and Bucks Museum Trust are subject to contract management and are included on the Contract Management Application.
- Suggestion – Risk Management Group review the existing risk management for the five ADV's not yet considered by the Committee, including latest performance risks, and management reporting.